

11/4/06

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	09691392
Filing Date	2000-10-17
First Named Inventor	Ronald Katz
Art Unit	3627
Examiner Name	Florian M. Zeender
Attorney Docket Number	PAT-009C

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	3	7072849	B1	2006-07-04	Filepp et al	
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☐ None

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Signature	/Raffi Gostanian/	Date (YYYY-MM-DD)	2006-11-06
Name/Print	Raffi Gostanian	Registration Number	42595

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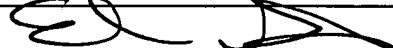
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First Named Inventor Ronald Katz

Art Unit 3627

Examiner Name Steven B. McAllister

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	5	6823319		2004-11-23	Lynch	
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	4	20030196204		2003-10-16	Thiagarajan	
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3/31/06

PTO/SB/08a (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	09691392
Filing Date	2000-10-17
First Named Inventor	Ronald Katz
Art Unit	3627
Examiner Name	Steven B. McAllister
Attorney Docket Number	PAT-009C

U.S. PATENTS

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
aj	1	6567787		2003-05-20	Walker	
	2	5010485		1991-04-23	Bigari	
	3	4812628		1989-03-04	Boston	
	4	5878403		1999-03-02	DeFrancesco	
	5	6029149		2000-02-22	Dykstra	
	6	5914472		1999-06-22	Foladare	
	7	6138911		1991-04-23	Fredregill	
	8	6199762		2001-03-13	Hohle	

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Examiner Name	Steven B. McAllister	
Attorney Docket Number	PAT-009C	

62	9	6055513		2000-04-01	Katz	
	10	5890137		1999-03-30	Koreeda	
	11	5732400		1998-03-24	Mandler	
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	16	6226624		2001-05-01	Watson	
	17	6135349		2000-10-24	Zirkel	
	18	6553178		2003-04-22	Abecassis	
✓	19	6075551		2000-06-13	Berezowski	

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Examiner Name	Steven B. McAllister	
Attorney Docket Number	PAT-009C	

20	6773351		2004-08-10	Brown	
21	6134309		2000-10-17	Carson	
22	5566353		1996-10-15	Cho	
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29	5500681		1996-03-19	Jones	
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Ε	31	6078896		2000-06-20	Kaehler	
	32	5515130		1996-06-09	Long	
	33	5761601		1998-06-02	Nemirofsky	
	34	6202334		2001-03-20	Reynolds	
	35	6671879		2003-12-20	Schlarb	
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	37	6546193		2003-04-08	Um	
	38	6756997		2004-06-29	Ward, III	
	39	6351736		2002-02-26	Weisberg	
	40	6701317		2004-03-02	Wiener	
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EJ	42	6704727		2004-03-09	Kravets	
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EJ	1	TEDESCHI, BOB, E-Commerce Report; Demand Among Marketers For Advertising Next To Search Results Could Soon Outpace Supply, The New York Times, 07-19-2004.	<input checked="" type="checkbox"/>
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First Named Inventor	Ronald Katz
Art Unit	3627
Examiner Name	Steven B. McAllister
Attorney Docket Number	PAT-009C

4	FITZGERALD, KATE; "Putting Tech Into Telemarketing," Credit Card Management, 07-1999, v12n4pg34, 8 pgs.	<input checked="" type="checkbox"/>
5	CMF: LUMPUR, KUALA; "Credit Card Ties Up With Alumni Life," 01-02-1996, 2 pgs.	<input checked="" type="checkbox"/>
6	MEECE, MICKEY; "Fertile Sales Turf: Fee-Based Card Services Memberworks' Gary Johnson Counts the Way He Can Sell to Cardholders," American Banker, v162n68pg15, 4 pgs.	<input checked="" type="checkbox"/>
7	STEEL, JAMES; "Combating Counterfeit Credit Cards: The Technological Challe," Credit World, May/June 1995, v83n5pg16, 3 pgs.	<input checked="" type="checkbox"/>
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9	NETT, WALT, Sweet Deals Can Lull The Unaware Credit Card User, Arizona Daily Star, 06-19-1994, pg. 1.D.	<input checked="" type="checkbox"/>

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Application Number	09691392
Filing Date	2000-10-17
First Named Inventor	Ronald Katz
Art Unit	3627
Examiner Name	Steven B. McAllister
Attorney Docket Number	PAT-009C

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- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Raffi Gostanian/	Date (YYYY-MM-DD)	2006-03-31
Name/Print	Raffi Gostanian	Registration Number	42595

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3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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3/22/06

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Application Number	09691392
Filing Date	2000-10-17
First Named Inventor	Ronald Katz
Art Unit	3627
Examiner Name	Steven B. McAllister
Attorney Docket Number	PAT-009C

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Attorney Docket Number	PAT-009C

	1	Santi, Albert, Encyclopedia of Mortgage and Real Estate Finance, 01-1998, Mortgage Bankers Association of America's Real Estate Finance Press, Revised Edition, pgs. 213 & 218.	<input checked="" type="checkbox"/>
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	First Named Inventor	Ronald Katz	
	Art Unit	3627	
	Examiner Name	Steven B. McAllister	
Total Number of Pages in This Submission	9	Attorney Docket Number	PAT-009C

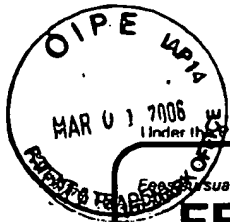
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☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number 09/691,392
Filing Date October 17, 2000
First Named Inventor Ronald Katz
Examiner Name Steven B. McAllister
Art Unit 3627
Attorney Docket No. PAT-009C

METHOD OF PAYMENT (check all that apply)

- ☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
☒ Deposit Account Deposit Account Number: 501880 Deposit Account Name: West Corporation
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
Total Claims	Extra Claims	Fee (\$)
- 20 or HP = _____ x _____ = _____		
HP = highest number of total claims paid for, if greater than 20.		
Indep. Claims	Extra Claims	Fee (\$)
- 3 or HP = _____ x _____ = _____		
HP = highest number of independent claims paid for, if greater than 3.		

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets _____ **Extra Sheets** _____ **Number of each additional 50 or fraction thereof** _____ **Fee (\$)** _____ **Fee Paid (\$)** _____
- 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

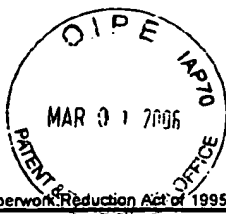
Non-English Specification, \$130 fee (no small entity discount) **Fees Paid (\$)** _____
Other (e.g., late filing surcharge): Information Disclosure Statement 180.00

SUBMITTED BY

Signature Rafii Gostanian Registration No. 42,595 Telephone 972.849.1310
Name (Print/Type) Rafii Gostanian Date 3/1/06

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/088 (07-05)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/691,392
Filing Date	October 17, 2000
First Named Inventor	Ronald Katz
Art Unit	3627
Examiner Name	Steven B. McAllister
Attorney Docket Number	PAT-009C

Sheet

1

of

1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
g		WAGNER; LON, Fry Fight As Fast-Food Chains Face Off With French Fries, We Weigh The Issues For You"; Virginian-Pilot, Norfolk, VA; April 06, 1997 extracted on Internet from Proquest Database	
Eg		PERKINS, ED; Consumer Reports On Travel Careful Shopping Will Avoid Bait-And-Switch Promotions"; The Atlanta Constitution; Atlanta, GA; Jan 4, 1995 extracted on Internet from Proquest Database	

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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